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| **KYC Form 1** | **Detailed Customer Data** |

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| **Name of Customer** |  |

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| 1. **Corporate Information** | |
| Legal Form |  |
| Date of Incorporation |  |
| Core Business of the customer |  |
| Nature of Business  (Articles of Agreement, By Laws, Charters, etc… to be provided) |  |
| Full Address |  |
| 1. **Key Relationships** | |
| External Accountants / Auditor |  |
| Outside Legal Counsel |  |
| Regulatory Bodies |  |
| Environmental & Social responsibility  (compliance with local standards) |  |
| 1. **Disclosures / Issues** | |
| Disciplinary action by regulatory agencies and professional associations, including previous and pending investigations |  |
| Tax Matters |  |
| Litigation matters / Lawsuits including any write-offs rescheduling of credit facilities during past 5 years |  |
| Convictions |  |

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| **KYC Form 2** | **Persons**  **(Owners, Board of Directors and Key Management)** |

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| --- | --- |
| **Name of Customer** |  |

| **Name** | **Role** | **PEP[[1]](#footnote-1)** | **Public Appointments** | **Prior Employment** (last 10 years) | **Political Activity** | **Sanctions, Investigations or Immunity[[2]](#footnote-2)** |
| --- | --- | --- | --- | --- | --- | --- |
| 1. **Owners** (≥5%) | | | | | | |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |
|  | Owner (X%) |  |  |  |  |  |

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| 1. **Board of Directors** | | | | | | |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
|  | (Independent, Executive or Non-Executive) |  |  |  |  |  |
| 1. **Key Management** | | | | | | |
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Please sign & stamp below, and mark initials on every page of the KYC form:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Check if the person is a Politically Exposed Person (PEP) and provide copy of Identity Documents [↑](#footnote-ref-1)
2. Check if (a) the person and/or any companies for which he/she has acted as director or officer, ever been officially sanctioned, (b) the person ever been the subject of an official investigation by an official body or (c) the person ever received immunity from prosecution from a government or government department. [↑](#footnote-ref-2)